

General Assembly

Raised Bill No. 5610

February Session, 2006

LCO No. 2269

02269 GAE

Referred to Committee on Government Administration and Elections

Introduced by: (GAE)

AN ACT CONCERNING REVISIONS TO THE COMPREHENSIVE CAMPAIGN FINANCE LAWS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Subsection (c) of section 9-702 of the 2006 supplement to
- 2 the general statutes is repealed and the following is substituted in lieu
- 3 thereof (Effective December 31, 2006, and applicable to elections held on or
- 4 after said date):
- 5 (c) A candidate participating in the Citizens' Election Program shall
- 6 limit the expenditures of the candidate's candidate committee (A)
- 7 before a primary campaign and a general election campaign, to the
- 8 amount of qualifying contributions permitted in section 9-705, as
- 9 amended by this act, and any personal funds provided by the
- 10 candidate under subsection (c) of section 9-710, (B) for a primary
- 11 campaign, to the sum of (i) the amount of such qualifying
- 12 contributions and personal funds that have not been spent before the
- 13 primary campaign, (ii) the amount of the grant for the primary
- campaign authorized under section 9-705, as amended by this act, and
- 15 (iii) the amount of any additional moneys for the primary campaign

16 authorized under section 9-713 or 9-714, as amended by this act, and 17 (C) for a general election campaign, to the sum of (i) the amount of 18 such qualifying contributions and personal funds that have not been 19 spent before the general election campaign, (ii) any unexpended funds 20 from any grant for a primary campaign authorized under section 9-705 21 or from any additional moneys for a primary campaign authorized 22 under section 9-713 or 9-714, as amended by this act, (iii) the amount of 23 the grant for the general election campaign authorized under section 9-24 705, and (iv) the amount of any additional moneys for the general 25 election campaign authorized under section 9-713 or 9-714, as 26 amended by this act. The candidate committee of a minor or 27 petitioning party candidate who has received a partial grant from the 28 fund pursuant to section 9-705 of the 2006 supplement to the general 29 statutes, as amended by this act, shall be permitted to receive 30 contributions in addition to the qualifying contributions subject to the 31 limitations and restrictions applicable to nonparticipating candidates 32 for the same office, provided the participating candidate shall limit the 33 expenditures of the candidate committee for a general election 34 campaign to the sum of the qualifying contributions and personal 35 funds, the amount of the partial grant received and the amount raised 36 in additional contributions that is equivalent to the difference between 37 the full grant and the partial grant received.

Sec. 2. Subsection (a) of section 9-703 of the 2006 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective December 31, 2006, and applicable to elections held on or after said date*):

(a) Each candidate for nomination or election to the office of state senator or state representative in 2008, or thereafter, or the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer in 2010, or thereafter, shall file an affidavit with the State Elections Enforcement Commission. The affidavit shall include a written certification that the candidate either intends to abide by the expenditure limits under the Citizens' Election

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49 Program set forth in subsection (c) of section 9-702, or does not intend 50 to abide by said limits. If the candidate intends to abide by said limits, 51 the affidavit shall also include written certifications (1) that the 52 campaign treasurer of the candidate committee for said candidate shall 53 expend any moneys received from the Citizens' Election Fund in 54 accordance with the provisions of subsection (g) of section 9-333i, as 55 amended, and regulations adopted by the State Elections Enforcement 56 Commission under subsection (e) of section 9-706, (2) that the 57 candidate shall repay to the fund any such moneys that are not 58 expended in accordance with subsection (g) of said section 9-333i, as 59 amended, and said regulations, (3) that the candidate and the 60 campaign treasurer shall comply with the provisions of subdivision (1) 61 of subsection (a) of section 9-711, and (4) stating the candidate's status 62 as a major party, minor party or petitioning party candidate and, in the 63 case of a major party or minor party candidate, the name of such party. 64 The written certification described in subdivision (3) of this subsection 65 shall be made by both the candidate and the campaign treasurer of the 66 candidate committee for said candidate. A candidate for nomination or 67 election to any such office shall file such affidavit not later than four 68 o'clock p.m. on the twenty-fifth day before the day of a primary, if 69 applicable, or on the fortieth day before the day of the election for such 70 office, except that in the case of a special election for the office of state 71 senator or state representative, the candidate shall file such affidavit 72 not later than four o'clock p.m. on the twenty-fifth day before the day 73 of such special election.

- Sec. 3. Subsection (a) of section 9-704 of the 2006 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective December 31, 2006, and applicable to elections held on or after said date*):
- 78 (a) The amount of qualifying contributions that the candidate 79 committee of a candidate shall be required to receive in order to be 80 eligible for grants from the Citizens' Election Fund shall be:

(1) In the case of a candidate for nomination or election to the office of Governor, contributions from individuals in the aggregate amount of two hundred fifty thousand dollars, of which two hundred twentyfive thousand dollars or more is contributed by [individuals residing in] electors of the state. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received by (i) an exploratory committee established by said candidate, or (ii) an exploratory committee or candidate committee of a candidate for the office of Lieutenant Governor who is deemed to be jointly campaigning with a candidate for nomination or election to the office of Governor under subsection (a) of section 9-709, which meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts; and

(2) In the case of a candidate for nomination or election to the office of Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State, contributions from individuals in the aggregate amount of seventy-five thousand dollars, of which sixty-seven thousand five hundred dollars or more is contributed by [individuals residing in] electors of the state. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received by an exploratory committee established by said candidate that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts.

(3) In the case of a candidate for nomination or election to the office of state senator for a district, contributions from individuals in the

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aggregate amount of fifteen thousand dollars, including contributions from at least three hundred [individuals residing in municipalities] electors of any municipality included, in whole or in part, in said district. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating the aggregate contribution amount under this subdivision, (B) no contribution shall be counted for the purposes of the requirement under this subdivision for contributions from at least three hundred [individuals residing in municipalities] electors of any municipality included, in whole or in part, in the district unless the contribution is five dollars or more, and (C) all contributions received by an exploratory committee established by said candidate that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating the aggregate contribution amount under this subdivision and all such exploratory committee contributions that also meet the requirement under this subdivision for contributions from at least three hundred [individuals residing in municipalities] electors of any municipality included, in whole or in part, in the district shall be counted for the purposes of said requirement.

(4) In the case of a candidate for nomination or election to the office of state representative for a district, contributions from individuals in the aggregate amount of five thousand dollars, including contributions from at least one hundred fifty [individuals residing in municipalities] electors of any municipality included, in whole or in part, in said district. The provisions of this subdivision shall be subject to the following: (A) The candidate committee shall return the portion of any contribution or contributions from any individual, including said candidate, that exceeds one hundred dollars, and such excess portion shall not be considered in calculating the aggregate contribution amount under this subdivision, (B) no contribution shall be counted for the purposes of the requirement under this subdivision for

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contributions from at least one hundred fifty [individuals residing in municipalities] electors of any municipality included, in whole or in part, in the district unless the contribution is five dollars or more, and (C) all contributions received by an exploratory committee established by said candidate that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating the aggregate contribution amount under this subdivision and all such exploratory committee contributions that also meet the requirement under this subdivision for contributions from at least one hundred fifty [individuals residing in municipalities] electors of any municipality included, in whole or in part, in the district shall be counted for the purposes of said requirement.

- (5) Notwithstanding the provisions of subdivisions (3) and (4) of this subsection, in the case of a special election for the office of state senator or state representative for a district, (A) the aggregate amount of qualifying contributions that the candidate committee of a candidate for such office shall be required to receive in order to be eligible for a grant from the Citizens' Election Fund shall be seventy-five per cent or more of the corresponding amount required under the applicable said subdivision (3) or (4), and (B) the number of contributions required from [individuals residing in municipalities] electors of any municipality included, in whole or in part, in said district shall be seventy-five per cent or more of the corresponding number required under the applicable said subdivision (3) or (4).
- Sec. 4. Section 9-705 of the 2006 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective December 31, 2006, and applicable to elections held on or after said date*):
 - (a) (1) The qualified candidate committee of a major party candidate for the office of Governor who has a primary for nomination to said office shall be eligible to receive a grant from the Citizens' Election Fund for the primary campaign in the amount of one million two hundred fifty thousand dollars, provided, in the case of a primary held

- 180 in 2014, or thereafter, said amount shall be adjusted under subsection 181 (d) of this section.
- 182 (2) The qualified candidate committee of a [major party] candidate 183 for the office of Governor who has been nominated, or who has 184 qualified to appear on the election ballot in accordance with the provisions of part III C of chapter 153, shall be eligible to receive a 185 186 grant from the fund for the general election campaign in the amount of 187 three million dollars, provided in the case of an election held in 2014, 188 or thereafter, said amount shall be adjusted under subsection (d) of 189 this section.
- 190 (b) (1) The qualified candidate committee of a major party candidate 191 for the office of Lieutenant Governor, Attorney General, State 192 Comptroller, Secretary of the State or State Treasurer who has a 193 primary for nomination to said office shall be eligible to receive a grant 194 from the fund for the primary campaign in the amount of three 195 hundred seventy-five thousand dollars, provided, in the case of a 196 primary held in 2014, or thereafter, said amount shall be adjusted 197 under subsection (d) of this section.
 - (2) The qualified candidate committee of a [major party] candidate for the office of Attorney General, State Comptroller, Secretary of the State or State Treasurer who has been nominated, or who has qualified to appear on the election ballot in accordance with the provisions of part III C of chapter 153, shall be eligible to receive a grant from the fund for the general election campaign in the amount of seven hundred fifty thousand dollars, provided in the case of an election held in 2014, or thereafter, said amount shall be adjusted under subsection (d) of this section.
- 207 (c) (1) [The] Notwithstanding the provisions of subsections (a) and 208 (b) of this section, the qualified candidate committee of an eligible 209 minor party candidate for the office of Governor, Lieutenant Governor, 210 Attorney General, State Comptroller, Secretary of the State or State Treasurer shall be eligible to receive a grant from the fund for the 211

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general election campaign if either (A) the candidate of the same minor party for the same office at the last preceding regular election received at least [ten] three per cent of the whole number of votes cast for all candidates for said office at said election, or (B) said candidate's nominating petition has been signed by a number of qualified electors equal to at least three per cent of the whole number of electors on the active registry list for the state for the last preceding regular election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a [major party] candidate for the same office, provided [(A)] (i) if the candidate of the same minor party for the same office at the last preceding regular election received at least [fifteen] four per cent of the whole number of votes cast for all candidates for said office at said election, or said candidate's nominating petition has been signed by a number of qualified electors equal to at least four per cent of the whole number of electors on the active registry list for the state for the last preceding regular election the amount of the grant shall be twothirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a [major party] candidate for the same office, [(B)] (ii) if the candidate of the same minor party for the same office at the last preceding regular election received at least [twenty] five per cent of the whole number of votes cast for all candidates for said office at said election, or said candidate's nominating petition has been signed by a number of qualified electors equal to at least five per cent of the whole number of electors on the active registry list for the state for the last preceding regular election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (a) or (b) of this section for a [major party] candidate for the same office, and [(C)] (iii) in the case of an election held in 2014, or thereafter, said amounts shall be adjusted under subsection (d) of this section.

(2) [The] <u>Notwithstanding the provisions of subsections (a) and (b)</u> of this section, the qualified candidate committee of an eligible petitioning party candidate for the office of Governor, Lieutenant

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Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer shall be eligible to receive a grant from the fund for the general election campaign if said candidate's nominating petition has been signed by a number of qualified electors equal to at least [ten] three per cent of the whole number of [votes cast for the same office] electors on the active registry list for the state at the last preceding regular election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (a) or (b) of this section for a [major party] candidate for the same office, provided (A) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least [fifteen] four per cent of the whole number of [votes cast for the same office] electors on the active registry list for the state at the last preceding regular election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (a) or (b) of this section for a [major party] candidate for the same office, (B) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least [twenty] five per cent of the whole number of [votes cast for the same office] electors on the active registry list for the state at the last preceding regular election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (a) or (b) of this section for a [major party] candidate for the same office, and (C) in the case of an election held in 2014, or thereafter, said amounts shall be adjusted under subsection (d) of this section.

(d) For elections held in 2014, and thereafter, the amount of the grants in subsections (a), (b) and (c) of this section shall be adjusted by the State Elections Enforcement Commission not later than January 15, 2014, and quadrennially thereafter, in accordance with any change in the consumer price index for all urban consumers as published by the United States Department of Labor, Bureau of Labor Statistics, during the period beginning on January 1, 2010, and ending on December thirty-first in the year preceding the year in which said adjustment is to be made.

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(e) (1) The qualified candidate committee of a major party candidate for the office of state senator who has a primary for nomination to said office shall be eligible to receive a grant from the fund for the primary campaign in the amount of thirty-five thousand dollars, provided (A) if the percentage of the electors in the district served by said office who are enrolled in said major party exceeds the percentage of the electors in said district who are enrolled in another major party by at least twenty percentage points, the amount of said grant shall be seventyfive thousand dollars, and (B) in the case of a primary held in 2010, or thereafter, said amounts shall be adjusted under subsection (h) of this section. For the purposes of subparagraph (A) of this subdivision, the number of enrolled members of a major party and the number of electors in a district shall be determined by the latest enrollment and voter registration records in the office of the Secretary of the State submitted in accordance with the provisions of section 9-65. The names of electors on the inactive registry list compiled under section 9-35 shall not be counted for such purposes.

(2) The qualified candidate committee of a [major party] candidate for the office of state senator who has been nominated, or has qualified to appear on the election ballot in accordance with part III C of chapter 153, shall be eligible to receive a grant from the fund for the general election campaign in the amount of eighty-five thousand dollars, provided in the case of an election held in 2010, or thereafter, said amount shall be adjusted under subsection (h) of this section.

(f) (1) The qualified candidate committee of a major party candidate for the office of state representative who has a primary for nomination to said office shall be eligible to receive a grant from the fund for the primary campaign in the amount of ten thousand dollars, provided (A) if the percentage of the electors in the district served by said office who are enrolled in said major party exceeds the percentage of the electors in said district who are enrolled in another major party by at least twenty percentage points, the amount of said grant shall be twenty-five thousand dollars, and (B) in the case of a primary held in 2010, or

thereafter, said amounts shall be adjusted under subsection (h) of this section. For the purposes of subparagraph (A) of this subdivision, the number of enrolled members of a major party and the number of electors in a district shall be determined by the latest enrollment and voter registration records in the office of the Secretary of the State submitted in accordance with the provisions of section 9-65. The names of electors on the inactive registry list compiled under section 9-35 shall not be counted for such purposes.

- (2) The qualified candidate committee of a [major party] candidate for the office of state representative who has been nominated, or has qualified to appear on the election ballot in accordance with part III C of chapter 153, shall be eligible to receive a grant from the fund for the general election campaign in the amount of twenty-five thousand dollars, provided in the case of an election held in 2010, or thereafter, said amount shall be adjusted under subsection (h) of this section.
- (g) (1) [The] Notwithstanding the provisions of subsections (e) and (f) of this section, the qualified candidate committee of an eligible minor party candidate for the office of state senator or state representative shall be eligible to receive a grant from the fund for the general election campaign if either (A) the candidate of the same minor party for the same office at the last preceding regular election received at least [ten] three per cent of the whole number of votes cast for all candidates for said office at said election, or (B) said candidate's nominating petition has been signed by a number of qualified electors equal to at least three per cent of the whole number of electors on the active registry list for the senatorial or assembly district, as the case may be, for the last preceding regular election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (e) or (f) of this section for a [major party] candidate for the same office, provided [(A)] (i) if the candidate of the same minor party for the same office at the last preceding regular election received at least [fifteen] four per cent of the whole number of votes cast for all candidates for said office at said election, or said

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candidate's nominating petition has been signed by a number of qualified electors equal to at least four per cent of the whole number of electors on the active registry list for the senatorial or assembly district, as the case may be, for the last preceding regular election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (e) or (f) of this section for a [major party] candidate for the same office, [(B)] (ii) if the candidate of the same minor party for the same office at the last preceding regular election received at least [twenty] five per cent of the whole number of votes cast for all candidates for said office at said election, or said candidate's nominating petition has been signed by a number of qualified electors equal to at least five per cent of the whole number of electors on the active registry list for the senatorial or assembly district, as the case may be, for the last preceding regular election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (e) or (f) of this section for a [major party] candidate for the same office, and [(C)] (iii) in the case of an election held in 2010, or thereafter, said amounts shall be adjusted under subsection (h) of this section.

(2) [The] Notwithstanding the provisions of subsections (e) and (f) of this section, the qualified candidate committee of an eligible petitioning party candidate for the office of state senator or state representative shall be eligible to receive a grant from the fund for the general election campaign if said candidate's nominating petition has been signed by a number of qualified electors equal to at least [ten] three per cent of the whole number of [votes cast for the same office] electors on the active registry list for the senatorial or assembly district, as the case may be, at the last preceding regular election. The amount of the grant shall be one-third of the amount of the general election campaign grant under subsection (e) or (f) of this section for a [major party] candidate for the same office, provided (A) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least [fifteen] four per cent of the whole number of [votes cast for the same office] electors on the active registry list for the

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senatorial or assembly district, as the case may be, at the last preceding regular election, the amount of the grant shall be two-thirds of the amount of the general election campaign grant under subsection (e) or (f) of this section for a [major party] candidate for the same office, (B) if said candidate's nominating petition has been signed by a number of qualified electors equal to at least [twenty] five per cent of the whole number of [votes cast for the same office] electors on the active registry list for the senatorial or assembly district, as the case may be, at the last preceding regular election, the amount of the grant shall be the same as the amount of the general election campaign grant under subsection (e) or (f) of this section for a [major party] candidate for the same office, and (C) in the case of an election held in 2010, or thereafter, said amounts shall be adjusted under subsection (h) of this section.

- (h) For elections held in 2010, and thereafter, the amount of the grants in subsections (e), (f) and (g) of this section shall be adjusted by the State Elections Enforcement Commission not later than January 15, 2010, and biennially thereafter, in accordance with any change in the consumer price index for all urban consumers as published by the United States Department of Labor, Bureau of Labor Statistics, during the period beginning on January 1, 2008, and ending on December thirty-first in the year preceding the year in which said adjustment is to be made.
- (i) Notwithstanding the provisions of subsections (e), (f) and (g) of this section, in the case of a special election for the office of state senator or state representative, the amount of the grant for a general election campaign shall be seventy-five per cent of the amount authorized under the applicable said subsection (e), (f) or (g).
- (j) Notwithstanding the provisions of subsections (a) to (i), inclusive, of this section:
- (1) The initial grant that a qualified candidate committee for a candidate is eligible to receive under subsections (a) to (i), inclusive, of this section shall be reduced by the amount of any personal funds that

- the candidate provides for the candidate's campaign for nomination or election pursuant to subsection (c) of section 9-710;
- (2) If a participating candidate is nominated at a primary and does not expend the entire grant for the primary campaign authorized under subsection (a), (b), (e) or (f) of this section or all moneys that may be received for the primary campaign under section 9-713 or 9-714, the amount of the grant for the general election campaign shall be reduced by the total amount of any such unexpended primary campaign grant and moneys;
 - (3) If a participating candidate who is nominated for election does not have any opponent in the general election campaign, the amount of the general election campaign grant for which the qualified candidate committee for said candidate shall be eligible shall be thirty per cent of the applicable amount set forth in subsections (a) to (i), inclusive; and
 - (4) If the only opponent or opponents of a participating candidate who is nominated for election to an office are eligible minor party candidates or eligible petitioning party candidates and no such eligible minor party candidate's or eligible petitioning party candidate's candidate committee has received a total amount of contributions of any type that is equal to or greater than the amount of the qualifying contributions that a candidate for such office is required to receive under section 9-704 to be eligible for grants from the Citizens' Election Fund, the amount of the general election campaign grant for such participating candidate shall be sixty per cent of the applicable amount set forth in this section.
 - Sec. 5. Subsection (d) of section 9-706 of the 2006 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective December 31, 2006, and applicable to elections held on and after said date*):
- (d) Not later than three business days following receipt of any such

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application, the commission shall review the application, determine whether (1) the candidate committee for the applicant has received the required qualifying contributions, (2) in the case of an application for a grant from the fund for a primary campaign, the applicant has met the applicable condition under subsection (a) of this section for applying for such grant and complied with the provisions of subsections (b) and (c) of this section, and at least either one other participating candidate for nomination in the primary, from the same party and for the same office as the applicant, has also received the required qualifying contributions or at least one nonparticipating candidate for nomination in the primary, from the same party and for the same office as the applicant, has received an amount of contributions equal to the amount of such qualifying contributions, (3) in the case of an application for a grant from the fund for a general election campaign, the applicant has met the applicable condition under subsection (a) of this section for applying for such moneys and complied with the provisions of subsections (b) and (c) of this section, and (4) in the case of an application by a minor party or petitioning party candidate for a grant from the fund for a general election campaign, the applicant qualifies as an eligible minor party candidate or an eligible petitioning party candidate, whichever is applicable. Upon receipt of any such application from a candidate for the primary, the commission shall require the treasurer of all opposing candidates in that primary to submit a statement sworn to under oath, on a form prescribed by the commission, not more than forty-eight hours later, that contains an itemized accounting of all funds received to date, in order to determine whether the applicant qualifies for a primary grant in accordance with subdivision (2) of this subsection. The commission shall require that the statement be submitted electronically. If the commission approves an application, the commission shall determine the amount of the grant payable to the candidate committee for the applicant pursuant to section 9-705, as amended by this act, from the fund, and notify the State Comptroller and the candidate of such candidate committee, of such amount. Not later than two business days following notification

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- by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of such amount to the qualified candidate committee from the fund.
- Sec. 6. Section 9-712 of the 2006 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective* December 31, 2006, and applicable to elections held on or after said date):
 - (a) (1) If a candidate <u>committee</u> in a primary campaign or a general election campaign in which there is at least one participating candidate initially makes, or incurs an obligation to make, an expenditure that is in excess of ninety per cent of the applicable grant for said participating candidate or candidates for said campaign authorized under section 9-705, <u>as amended by this act</u>, the <u>campaign treasurer of the</u> candidate <u>committee</u> making the excess expenditure shall file a supplemental campaign finance statement with the State Elections Enforcement Commission, not later than forty-eight hours after making or incurring said expenditure.
 - (2) After the initial filing of a statement under subdivision (1) of this subsection, the campaign treasurer of the candidate filing the statement and [all] the campaign treasurer of all of the opposing candidates shall file supplemental campaign finance statements with the commission on the following schedule: (A) In the case of a primary campaign, on the first Thursday following the date in July on which candidates are required to file campaign finance statements pursuant to subsection (a) of section 9-333j, as amended, or the first Thursday following the supplemental campaign finance statement filed under subdivision (1) of this subsection, whichever is later, and each Thursday thereafter until the Thursday before the day of the primary, inclusive, and (B) in the case of a general election campaign, on the first Thursday following the date in October on which candidates are required to file campaign finance statements pursuant to subsection (a) of section 9-333j, as amended, or the first Thursday following the supplemental campaign finance statement filed under subdivision (1)

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of this subsection, whichever is later, and each Thursday thereafter until the Thursday before the day of the election, inclusive.

- (3) Each supplemental statement required under subdivision (1) or (2) of this subsection for a candidate shall disclose the name of the candidate, the name of the candidate's campaign committee and the total amount of campaign expenditures made or obligated to be made by such candidate committee during the primary campaign or the general election campaign, whichever is applicable, as of the day before the date on which such statement is required to be filed. The commission shall adopt regulations, in accordance with the provisions of chapter 54, specifying permissible media for the transmission of such statements to the commission, which shall include electronic mail.
- (b) (1) As used in this subsection, "excess expenditure" means [(A)] an expenditure made, or obligated to be made, by a nonparticipating or a participating candidate who is opposed by one or more other participating candidates in a primary campaign or a general election campaign, which is in excess of the amount of the [applicable grant] limit on expenditures for said participating candidates for said campaign authorized under section [9-705] 9-702. [, or (B) an expenditure made, or obligated to be made by a participating candidate who is opposed by one or more other participating candidates in a primary campaign or a general election campaign, which is in excess of the sum of (i) the amount of the applicable qualifying contributions that a candidate is required to receive under section 9-704 to be eligible for grants from the Citizens' Election Fund, and (ii) the amount of the applicable grant for said participating candidates for said campaign authorized under section 9-705.]
- (2) If a candidate <u>committee</u> makes, or incurs the obligation to make, an excess expenditure more than twenty days before the day of a primary or an election, <u>the campaign treasurer of</u> said candidate shall file a declaration of excess expenditures with the commission not later than forty-eight hours after making or incurring said expenditure. If

- said candidate <u>committee</u> makes, or incurs the obligation to make, an excess expenditure twenty days or less before the day of a primary or an election, the <u>campaign treasurer of said</u> candidate shall file such declaration with the commission not later than twenty-four hours after making or incurring the expenditure.
 - (3) The commission shall confirm whether an expenditure described in a declaration filed under this subsection is an excess expenditure.
 - (c) If a campaign treasurer fails to file any statement or declaration required by this section within the time required, said campaign treasurer shall be subject to a civil penalty, imposed by the commission, of not more than one thousand dollars for the first failure to file the statement within the time required and not more than five thousand dollars for any subsequent such failure.
 - Sec. 7. Subdivisions (25) and (26) of section 9-333a of the 2006 supplement to the general statutes are repealed and the following is substituted in lieu thereof (*Effective December 31, 2006, and applicable to elections held on or after said date*):
 - (25) "Organization expenditure" means an expenditure by a party committee, legislative caucus committee or legislative leadership committee for the benefit of a candidate or candidate committee for:
 - (A) The preparation, display or mailing or other distribution of a party candidate listing. As used in this subparagraph, "party candidate listing" means any communication that meets the following criteria: (i) The communication lists the name or names of candidates for election to public office, (ii) the communication is distributed through public advertising such as broadcast stations, cable television, newspapers or similar media, or through direct mail, telephone, electronic mail, publicly accessible sites on the Internet or personal delivery, (iii) the treatment of all candidates in the communication is substantially similar, and (iv) the content of the communication is limited to (I) for each such candidate, identifying information, including photographs,

- the office sought, the office currently held by the candidate, if any, the party enrollment of the candidate, a brief statement concerning the candidate's positions, philosophy, goals, accomplishments or biography and the positions, philosophy, goals or accomplishments of the candidate's party, (II) encouragement to vote for each such candidate, and (III) information concerning voting, including voting hours and locations;
- 579 (B) A document in printed or electronic form, including a party 580 platform, a copy of an issue paper, information pertaining to the 581 requirements of this title, a list of registered voters and voter 582 identification information, which document is created or maintained 583 by a party committee, legislative caucus committee or legislative 584 leadership committee for the general purposes of party or caucus 585 building and is provided (i) to a candidate who is a member of the 586 party that has established such party committee, or (ii) to a candidate 587 who is a member of the party of the caucus or leader who has 588 established such legislative caucus committee or legislative leadership 589 committee, whichever is applicable;
- 590 (C) A campaign event at which a candidate or candidates are present, excluding costs of a fundraising event;
- [(D) The retention of the services of an advisor to provide assistance relating to campaign organization, financing, accounting, strategy, law or media;] or
- [(E)] (D) The use of offices, telephones, computers and similar equipment which does not result in additional cost to the party committee, legislative caucus committee or legislative leadership committee.
- (26) "Solicit" means (A) requesting that a contribution be made, (B) participating in any fund-raising activities for a candidate committee, exploratory committee, political committee or party committee, including, but not limited to, forwarding tickets to potential

- 603 contributors, receiving contributions for transmission to any such 604 committee or bundling contributions, (C) serving as chairperson, 605 campaign treasurer, deputy campaign treasurer or any other officer of 606 any such committee, or (D) establishing a political committee for the 607 sole purpose of soliciting or receiving contributions for any committee. 608 "Solicit" does not include (i) making a contribution that is otherwise 609 permitted under this chapter, (ii) informing any person of a position 610 taken by a candidate for public office or a public official, or (iii) 611 notifying the person of [any activities of] campaign activities, other 612 than fundraising, or contact information for, any candidate for public 613 office.
- Sec. 8. Subsection (b) of section 9-333b of the 2006 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective December 31, 2006, and applicable to elections held on or after said date*):
- (b) As used in this chapter and sections 9-700 to 9-716, inclusive, <u>as</u> amended by this act, "contribution" does not mean:
- (1) A loan of money made in the ordinary course of business by a national or state bank;
- 622 (2) Any communication made by a corporation, organization or 623 association to its members, owners, stockholders, executive or 624 administrative personnel, or their families;
- 625 (3) Nonpartisan voter registration and get-out-the-vote campaigns 626 by any corporation, organization or association aimed at its members, 627 owners, stockholders, executive or administrative personnel, or their 628 families;
- 629 (4) Uncompensated services provided by individuals volunteering 630 their time;
- (5) The use of real or personal property, and the cost of invitations, food or beverages, voluntarily provided by an individual to a

candidate or on behalf of a state central or town committee, in rendering voluntary personal services for candidate or party-related activities at the individual's residence, to the extent that the cumulative value of the invitations, food or beverages provided by the individual on behalf of any single candidate does not exceed two hundred dollars with respect to any single election, and on behalf of all state central and town committees does not exceed four hundred dollars in any calendar year;

- (6) The sale of food or beverage for use in a candidate's campaign or for use by a state central or town committee at a discount, if the charge is not less than the cost to the vendor, to the extent that the cumulative value of the discount given to or on behalf of any single candidate does not exceed two hundred dollars with respect to any single election, and on behalf of all state central and town committees does not exceed four hundred dollars in a calendar year;
- (7) Any unreimbursed payment for travel expenses made by an individual who on the individual's own behalf volunteers the individual's personal services to any single candidate to the extent the cumulative value does not exceed two hundred dollars with respect to any single election, and on behalf of all state central or town committees does not exceed four hundred dollars in a calendar year;
- (8) The payment, by a party committee, political committee or an individual, of the costs of preparation, display, mailing or other distribution incurred by the committee or individual with respect to any printed slate card, sample ballot or other printed list containing the names of three or more candidates;
- (9) The donation of any item of personal property by an individual to a committee for a fund-raising affair, including a tag sale or auction, or the purchase by an individual of any such item at such an affair, to the extent that the cumulative value donated or purchased does not exceed fifty dollars;

- 664 (10) [(A)] The purchase of advertising space which clearly identifies 665 the purchaser, in a program for a fund-raising affair sponsored by the candidate committee of a candidate for an office of a municipality, 666 provided the cumulative purchase of such space does not exceed two hundred fifty dollars from any single such candidate or the candidate's 669 committee with respect to any single election campaign if the 670 purchaser is a business entity or fifty dollars for purchases by any other person;
 - [(B) The purchase of advertising space which clearly identifies the purchaser, in a program for a fund-raising affair sponsored by a town committee, provided the cumulative purchase of such space does not exceed two hundred fifty dollars from any single town committee in any calendar year if the purchaser is a business entity or fifty dollars for purchases by any other person. Notwithstanding the provisions of this subparagraph, the following may not purchase advertising space in a program for a fund-raising affair sponsored by a town committee: (i) A communicator lobbyist, (ii) a member of the immediate family of a communicator lobbyist, (iii) a state contractor, (iv) a prospective state contractor, or (v) a principal of a state contractor or prospective state contractor. As used in this subparagraph, "state contractor", "prospective state contractor" and "principal of a state contractor or prospective state contractor" have the same meanings as provided in subsection (g) of section 9-333n;]
- 687 (11) The payment of money by a candidate to the candidate's 688 candidate committee;
- 689 (12) The donation of goods or services by a business entity to a 690 committee for a fund-raising affair, including a tag sale or auction, to 691 the extent that the cumulative value donated does not exceed one 692 hundred dollars;
- 693 (13) The advance of a security deposit by an individual to a 694 telephone company, as defined in section 16-1, as amended, for 695 telecommunications service for a committee, provided the security

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696 deposit is refunded to the individual;

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- (14) The provision of facilities, equipment, technical and managerial support, and broadcast time by a community antenna television company, as defined in section 16-1, <u>as amended</u>, for community access programming pursuant to section 16-331a, unless (A) the major purpose of providing such facilities, equipment, support and time is to influence the nomination or election of a candidate, or (B) such facilities, equipment, support and time are provided on behalf of a political party;
- (15) The sale of food or beverage by a town committee to an individual at a town fair, county fair or similar mass gathering held within the state, to the extent that the cumulative payment made by any one individual for such items does not exceed fifty dollars; or
- 709 (16) An organization expenditure by a party committee, legislative raucus committee or legislative leadership committee.
- Sec. 9. Subdivision (1) of subsection (e) of section 9-333g of the 2006 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective December 31, 2006, and applicable to elections held on and after said date*):
- 715 (e) (1) No [individual shall establish] elected public official or 716 candidate, agent of an elected public official or candidate, or 717 individual acting in consultation with, or at the request or suggestion 718 of, any such public official or candidate, or agent shall establish, direct 719 or control more than one political committee. The indicia of 720 establishment, direction or control of a political committee by an 721 [individual] elected official, candidate or agent includes the [individual] elected official, candidate or agent serving as chairperson, 722 723 [or] campaign treasurer or deputy treasurer of the committee and may 724 include, but shall not be limited to, the [individual] elected official, 725 candidate or agent making the initial contribution to the committee or 726 having significant influence in the decision making of the committee.

Such indicia shall not include (A) an [individual] elected official, candidate or agent communicating with (i) an officer of the political committee, or (ii) any [individual] elected official, candidate or agent establishing or controlling the political committee, or (B) the [individual] elected official, candidate or agent monitoring contributions made by the political committee. Any [individual who, on December 31, 2006, has established or controls] elected official, candidate or agent who, on and after December 31, 2006, has established, directed or controlled more than one political committee shall, not later than thirty days after said date, disavow all but one of such committees, in writing, to the State Elections Enforcement Commission. The provisions of this subdivision shall not apply to the establishment of an exploratory committee by an elected public official.

Sec. 10. Subsection (c) of section 9-333j of the 2006 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective December 31, 2006, and applicable to elections held on or after said date*):

(c) (1) Each statement filed under subsection (a), (e) or (f) of this section shall include, but not be limited to: (A) An itemized accounting of each contribution, if any, including the full name and complete address of each contributor and the amount of the contribution; (B) in the case of anonymous contributions, the total amount received and the denomination of the bills; (C) an itemized accounting of each expenditure, if any, including the full name and complete address of each payee, including secondary payees whenever the primary or principal payee is known to include charges which the primary payee has already paid or will pay directly to another person, vendor or entity, the amount and the purpose of the expenditure, the candidate supported or opposed by the expenditure, whether the expenditure is made independently of the candidate supported or is an in-kind contribution to the candidate, and a statement of the balance on hand or deficit, as the case may be; (D) an itemized accounting of each

expense incurred but not paid, provided if the expense is incurred by use of a credit card, the accounting shall include secondary payees, and the amount owed to each such payee; (E) the name and address of any person who is the guarantor of a loan to, or the cosigner of a note with, the candidate on whose behalf the committee was formed, or the campaign treasurer in the case of a party committee or a political committee or who has advanced a security deposit to a telephone company, defined in section 16-1, as amended, telecommunications service for a committee; (F) for each business entity or person purchasing advertising space in a program for a fundraising affair, the name and address of the business entity or the name and address of the person, and the amount and aggregate amounts of such purchases; (G) for each individual who contributes in excess of one hundred dollars but not more than one thousand dollars, in the aggregate, to the extent known, the principal occupation of such individual and the name of the individual's employer, if any; (H) for each individual who contributes in excess of one thousand dollars in the aggregate, the principal occupation of such individual, the name of the individual's employer, if any, and a statement indicating whether the individual or a business with which he is associated has a contract with the state which is valued at more than five thousand dollars; (I) If or each itemized contribution made by a lobbyist, the spouse of a lobbyist or any dependent child of a lobbyist who resides in the lobbyist's household, a statement to that effect; and (J)] for each individual who contributes in excess of four hundred dollars in the aggregate to or for the benefit of any candidate's campaign for nomination at a primary or election to the office of chief executive officer of a town, city or borough, a statement indicating whether the individual or a business with which he is associated has a contract with said municipality that is valued at more than five thousand dollars. Each campaign treasurer shall include in such statement (i) an itemized accounting of the receipts and expenditures relative to any testimonial affair held under the provisions of section 9-333k or any other fund-raising affair, which is referred to in subsection (b) of

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section 9-333b, <u>as amended</u>, and (ii) the date, location and a description of the affair.

(2) Each contributor described in subparagraph (G), (H) [, (I) or (J)] or (I) of subdivision (1) of this subsection shall, at the time the contributor makes such a contribution, provide the information which the campaign treasurer is required to include under said subparagraph in the statement filed under subsection (a), (e) or (f) of this section. Notwithstanding any provision of subdivision (2) of section 9-7b, as amended, any contributor described in subparagraph (G) of subdivision (1) of this subsection who does not provide such information at the time the contributor makes such a contribution and any treasurer shall not be subject to the provisions of subdivision (2) of section 9-7b, as amended. If a campaign treasurer receives a contribution from an individual which separately, or in the aggregate, is in excess of one thousand dollars and the contributor has not provided the information required by said subparagraph (H) or if a campaign treasurer receives a contribution from an individual to or for the benefit of any candidate's campaign for nomination at a primary or election to the office of chief executive officer of a town, city or borough, which separately, or in the aggregate, is in excess of four hundred dollars and the contributor has not provided the information required by said subparagraph [(J)] (I), the campaign treasurer: (i) Within three business days after receiving the contribution, shall send a request for such information to the contributor by certified mail, return receipt requested; (ii) shall not deposit the contribution until the campaign treasurer obtains such information from the contributor, notwithstanding the provisions of section 9-333h; and (iii) shall return the contribution to the contributor if the contributor does not provide the required information within fourteen days after the treasurer's written request or the end of the reporting period in which the contribution was received, whichever is later. Any failure of a contributor to provide the information which the campaign treasurer is required to include under said subparagraph (G), [or (I),] which results in noncompliance by the campaign treasurer with the provisions of

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said subparagraph $(G)_{L}$ [or $(I)_{r}$] shall be a complete defense to any action against the campaign treasurer for failure to disclose such information.

(3) In addition to the requirements of subdivision (2) of this subsection, each contributor who makes a contribution that separately, or in the aggregate, exceeds one hundred dollars shall provide with the contribution a certification that the contributor is not a principal of a state contractor or prospective state contractor, as defined in subsection (g) of section 9-333n, as amended. If a campaign treasurer receives such a contribution and the contributor has not provided such certification, the campaign treasurer shall: (A) Not later than three business days after receiving the contribution, send a request for the certification to the contributor by certified mail, return receipt requested; (B) not deposit the contribution until the campaign treasurer obtains the certification from contributor, the notwithstanding the provisions of section 9-333h; and (C) return the contribution to the contributor if the contributor does not provide the certification not later than fourteen days after the treasurer's written request or at the end of the reporting period in which the contribution was received, whichever is later. If a campaign treasurer deposits a contribution based on a certification that is later determined to be false and the campaign treasurer did not know and should not have known that the certification was false, the campaign treasurer's lack of knowledge of the false certification shall be a complete defense in any action against the campaign treasurer for depositing the contribution in violation of this subdivision.

(4) Contributions from a single individual to a campaign treasurer in the aggregate totaling fifty dollars or less need not be individually identified in the statement, but a sum representing the total amount of all such contributions made by all such individuals during the period to be covered by such statement shall be a separate entry, identified only by the words "total contributions from small contributors".

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- (5) Each statement filed by the campaign treasurer of a party committee, a legislative caucus committee or a legislative leadership committee shall include an itemized accounting of each organization expenditure made by the committee and the candidates supported by the expenditure.
 - (6) Statements filed in accordance with this section shall remain public records of the state for five years from the date such statements are filed.
- Sec. 11. Section 9-333*l* of the 2006 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective December 31, 2006, and applicable to elections held on and after said date*):
 - (a) Any provision of this chapter to the contrary notwithstanding, a candidate committee may join with one or more candidate committees to establish a political committee for the purpose of sponsoring one or more fund-raising events for those candidates. Any individual, other than a candidate benefited, who is eligible and qualifies to serve in accordance with the provisions of subsection (d) of section 9-333h may serve as the campaign treasurer or deputy campaign treasurer of such a political committee. The statements required to be filed by a political committee under this chapter shall apply to any political committee established pursuant to this subsection. After all expenses of the political committee have been paid by its campaign treasurer for each event, he shall distribute all remaining funds from such event to the campaign treasurers of each of the candidate committees which established the political committee. The distribution to each candidate committee shall be made not later than fourteen days after the event, either in accordance with a prior agreement of the candidates or, if no prior agreement was made, in equal proportions to each candidate committee. Any contribution which is made to such political committee shall, for purposes of determining compliance with the limitations imposed by this chapter, be deemed to have been made in equal proportions to each candidate's campaign unless (1) a prior

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agreement was made by the candidates as to the disposition of remaining funds, and (2) those who contributed to the political committee were notified of such disposition, in which case the contribution shall be deemed to have been made to each candidate's campaign in accordance with the agreement.

- (b) A candidate committee may pay its pro rata share of the expenses of operating a campaign headquarters and of preparing, printing and disseminating any political communication on behalf of that candidate and any other candidate or candidates. Notwithstanding the provisions of subdivision (1) of subsection (a) of section 9-333r, a candidate committee may reimburse a party committee for any expenditure such party committee has incurred for the benefit of such candidate committee.
- (c) A candidate may make any expenditure permitted by section 9-333i, as amended, to aid or promote the success of his campaign for nomination or election from his personal funds, or the funds of his immediate family, which for the purposes of this chapter shall consist of the candidate's spouse and issue. Any such expenditure shall not be deemed a contribution to any committee.
- (d) (1) No incumbent holding office shall, during the three months preceding an election in which he is a candidate for reelection or election to another office, use public funds to mail or print flyers or other promotional materials intended to bring about his election or reelection.
- (2) No official or employee of the state or a political subdivision of the state shall authorize the use of public funds for a television, radio, movie theater, billboard, bus poster, newspaper or magazine promotional campaign or advertisement, which (A) features the name, face or voice of a candidate for public office, or (B) promotes the nomination or election of a candidate for public office, during the twelve-month period preceding the election being held for the office which the candidate described in this subdivision is seeking.

- (3) As used in subdivisions (1) and (2) of this subsection, "public funds" does not include any grant or moneys paid to a qualified candidate committee from the Citizens' Election Fund under sections 9-700 to 9-716, inclusive.
- 928 (e) For purposes of this subsection and subsection (f) of this section, 929 the exclusions to the term "contribution" in subsection (b) of section 9-930 333b, as amended, shall not apply; the term "state office" means the 931 office of Governor, Lieutenant Governor, Attorney General, State 932 Comptroller, State Treasurer or Secretary of the State; and the term 933 "state officer" means the Governor, Lieutenant Governor, Attorney 934 General, State Comptroller, State Treasurer or Secretary of the State. 935 Notwithstanding any provision of this chapter to the contrary, during 936 any regular session of the General Assembly, during any special 937 session of the General Assembly held between the adjournment of the 938 regular session in an odd-numbered year and the convening of the 939 regular session in the following even-numbered year or during any 940 reconvened session of the General Assembly held in an odd-numbered 941 year to reconsider vetoed bills, (1) no lobbyist or political committee 942 established by or on behalf of a lobbyist shall make or offer to make a 943 contribution to or on behalf of, and no lobbyist shall solicit a 944 contribution on behalf of, (A) a candidate or exploratory committee 945 established by a candidate for nomination or election to the General 946 Assembly or a state office or (B) a political committee (i) established for 947 an assembly or senatorial district, (ii) established by a member of the 948 General Assembly or a state officer or such member or officer's agent, 949 or in consultation with, or at the request or suggestion of, any such 950 member, officer or agent, or (iii) controlled by such member, officer or 951 agent, to aid or promote the nomination or election of any candidate or 952 candidates to the General Assembly or a state office, and (2) no such 953 candidate or political committee shall accept such a contribution. The 954 provisions of this subsection shall not apply to a candidate committee 955 established by a member of the General Assembly or a candidate for 956 nomination or election to the General Assembly, at a special election 957 for the General Assembly, from the date on which the candidate or the

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chairman of the committee files the designation of a campaign treasurer and a depository institution under section 9-333d with the Secretary of the State, to the date on which the special election is held, inclusive, or to an exploratory committee established by a member of the General Assembly to promote his candidacy for an office other than the General Assembly.

(f) A political committee established by two or more individuals under subparagraph (B) of subsection (3) of section 9-333a, as amended, other than a committee established solely for the purpose of aiding or promoting any candidate or candidates for municipal office or the success or defeat of a referendum question, shall be subject to the prohibition on acceptance of lobbyist contributions under subsection (e) of this section unless the campaign treasurer of the committee has filed a certification that the committee is not established for an assembly or senatorial district, or by a member of the General Assembly or a state officer, or such member or officer's agent, or in consultation with, or at the request or suggestion of, any such member, officer or agent, or controlled by such member, officer or agent. The campaign treasurer of any political committee established by or on behalf of a lobbyist shall file a certification to that effect. Such certifications shall be filed with the office of the Secretary of the State, on forms prescribed by the secretary, on or before November 15, 1994, for all such political committees in existence on such date, or upon the registration of the committee, and on or before November fifteenth biennially thereafter. The secretary shall provide to the State Elections Enforcement Commission on or before December 1, 1994, and biennially thereafter, a political committee registration report. The report shall include a certified copy of each certification filed pursuant to this subsection prior to December first of the reporting year and a certified copy of a list stating the name of each political committee registered pursuant to section 9-333g, as amended, prior to December first of the reporting year and the name and address of the campaign treasurer of each such committee. In the case of any political committee which registers or files a certification on or after December first of any

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even-numbered year but prior to November first of the following even-numbered year, the secretary shall provide the commission with a copy of each such registration or certification by the close of the next business day following receipt. Such registration information or certification shall also be included in the biennial political committee registration report of the secretary to the commission. The commission shall prepare a list of all such committees subject to the prohibitions under subsection (e) of this section, according to the certifications filed, which shall be available prior to the opening of each regular session of the General Assembly, and shall provide a copy of the list to the president pro tempore of the Senate, the speaker of the House of Representatives, the minority leader of the Senate, the minority leader of the House of Representatives and each state officer. During each such regular session, the commission shall prepare a supplemental list of committees which register after November fifteenth and are subject to such prohibitions, and the commission shall provide the supplemental list to such legislative leaders and state officers. The filing of the certification by the campaign treasurer of the committee shall not impair the authority of the commission to act under section 9-7b, as amended. Any lobbyist or campaign treasurer who acts in reliance on such lists in good faith shall have an absolute defense in any action brought under subsection (e) and this subsection, subsection (c) of section 9-333f, as amended, and subsection (f) of section 9-333j, as amended.

(g) Each lobbyist who is an individual and, in conjunction with members of his immediate family, makes contributions to or purchases from committees exceeding one thousand dollars in the aggregate during the twelve-month period beginning July 1, 1993, or July first in any year thereafter, shall file a statement, sworn under penalty of false statement, with the State Elections Enforcement Commission in accordance with the provisions of section 9-333e, as amended, on the second Thursday in July following the end of such twelve-month period. The statement shall include: (1) The name of each committee to which the lobbyist or a member of his immediate family has made a

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contribution and the amount and date of each such contribution; and (2) the name of each committee from which the lobbyist or member of his immediate family has purchased any item of property or advertising space in a program in connection with a fund-raising event which is not considered a contribution under subsection (b) of section 9-333b, as amended, and the amount, date and description of each such purchase. Each lobbyist who is an individual and who, in conjunction with members of his immediate family, does not make contributions to or purchases from committees exceeding one thousand dollars in the aggregate during any such twelve-month period shall file a statement, sworn under penalty of false statement, with the State Elections Enforcement Commission in accordance with the provisions of section 9-333e, as amended, on the second Thursday in July, so indicating.

(h) No communicator lobbyist, member of the immediate family of a communicator lobbyist, or political committee established or controlled by a communicator lobbyist or a member of the immediate family of a communicator lobbyist shall make a contribution or contributions to, or for the benefit of (1) an exploratory committee or a candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer, Secretary of the State, state senator or state representative, (2) a political committee established or controlled by any such candidate, (3) a legislative caucus committee or a legislative leadership committee, or (4) a party committee.

(i) [(1)] No communicator lobbyist, immediate family member of a communicator lobbyist, agent of a communicator lobbyist, or political committee established or controlled by a communicator lobbyist or any such immediate family member or agent shall solicit [(A)] a contribution on behalf of a candidate committee or an exploratory committee established by a candidate for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer, Secretary of the State, state senator or state representative, a

political committee established or controlled by any such candidate, a legislative caucus committee, a legislative leadership committee or a party committee. [, or (B) the purchase of advertising space in a program for a fund-raising affair sponsored by a town committee pursuant to subparagraph (B) of subdivision (10) of section 9-333b.]

- [(2)] (j) The provisions of [subdivision (1) of this subsection] subsections (h) and (i) of this subsection shall not apply to the campaign of a communicator lobbyist, immediate family member of a communicator lobbyist or agent of a communicator lobbyist who is a candidate for public office or to an immediate family member of a communicator lobbyist who is an elected public official.
- [(3)] (k) Any person who violates any provision of [this subsection] subsections (h) and (i) of this section shall be subject to a civil penalty, imposed by the State Elections Enforcement Commission, of not more than five thousand dollars or twice the amount of any contribution donated or solicited in violation of [this subsection] subsection (h) or (i) of this subsection, whichever is greater.
 - Sec. 12. Section 9-333*l* of the 2006 supplement to the general statutes, as amended by section 11 of this act, is repealed and the following is substituted in lieu thereof (*Effective October 1, 2007*):
 - (a) Any provision of this chapter to the contrary notwithstanding, a candidate committee may join with one or more candidate committees to establish a political committee for the purpose of sponsoring one or more fund-raising events for those candidates. Any individual, other than a candidate benefited, who is eligible and qualifies to serve in accordance with the provisions of subsection (d) of section 9-333h may serve as the campaign treasurer or deputy campaign treasurer of such a political committee. The statements required to be filed by a political committee under this chapter shall apply to any political committee established pursuant to this subsection. After all expenses of the political committee have been paid by its campaign treasurer for each event, he shall distribute all remaining funds from such event to the

campaign treasurers of each of the candidate committees which established the political committee. The distribution to each candidate committee shall be made not later than fourteen days after the event, either in accordance with a prior agreement of the candidates or, if no prior agreement was made, in equal proportions to each candidate committee. Any contribution which is made to such political committee shall, for purposes of determining compliance with the limitations imposed by this chapter, be deemed to have been made in equal proportions to each candidate's campaign unless (1) a prior agreement was made by the candidates as to the disposition of remaining funds, and (2) those who contributed to the political committee were notified of such disposition, in which case the contribution shall be deemed to have been made to each candidate's campaign in accordance with the agreement.

- (b) A candidate committee may pay its pro rata share of the expenses of operating a campaign headquarters and of preparing, printing and disseminating any political communication on behalf of that candidate and any other candidate or candidates. Notwithstanding the provisions of subdivision (1) of subsection (a) of section 9-333r, a candidate committee may reimburse a party committee for any expenditure such party committee has incurred for the benefit of such candidate committee.
- (c) A candidate may make any expenditure permitted by section 9-333i, as amended, to aid or promote the success of his campaign for nomination or election from his personal funds, or the funds of his immediate family, which for the purposes of this chapter shall consist of the candidate's spouse and issue. Any such expenditure shall not be deemed a contribution to any committee.
- (d) (1) No incumbent holding office shall, during the three months preceding an election in which he is a candidate for reelection or election to another office, use public funds to mail or print flyers or other promotional materials intended to bring about his election or

- 1123 reelection.
- 1124 (2) No official or employee of the state or a political subdivision of 1125 the state shall authorize the use of public funds for a television, radio, 1126 movie theater, billboard, bus poster, newspaper or magazine 1127 promotional campaign or advertisement, which (A) features the name, 1128 face or voice of a candidate for public office, or (B) promotes the 1129 nomination or election of a candidate for public office, during the 1130 twelve-month period preceding the election being held for the office 1131 which the candidate described in this subdivision is seeking.
- 132 (3) As used in subdivisions (1) and (2) of this subsection, "public funds" does not include any grant or moneys paid to a qualified candidate committee from the Citizens' Election Fund under sections 9-700 to 9-716, inclusive.
- 1136 (e) For purposes of this subsection and subsection (f) of this section, 1137 the exclusions to the term "contribution" in subsection (b) of section 9-1138 333b, as amended, shall not apply; the term "state office" means the 1139 office of Governor, Lieutenant Governor, Attorney General, State 1140 Comptroller, State Treasurer or Secretary of the State; and the term 1141 "state officer" means the Governor, Lieutenant Governor, Attorney 1142 General, State Comptroller, State Treasurer or Secretary of the State. 1143 Notwithstanding any provision of this chapter to the contrary, during 1144 any regular session of the General Assembly, during any special 1145 session of the General Assembly held between the adjournment of the 1146 regular session in an odd-numbered year and the convening of the 1147 regular session in the following even-numbered year or during any 1148 reconvened session of the General Assembly held in an odd-numbered 1149 year to reconsider vetoed bills, (1) no lobbyist or political committee 1150 established by or on behalf of a lobbyist shall make or offer to make a 1151 contribution to or on behalf of, and no lobbyist shall solicit a 1152 contribution on behalf of, (A) a candidate or exploratory committee 1153 established by a candidate for nomination or election to the General 1154 Assembly or a state office or (B) a political committee (i) established for

an assembly or senatorial district, (ii) established by a member of the General Assembly or a state officer or such member or officer's agent, or in consultation with, or at the request or suggestion of, any such member, officer or agent, or (iii) controlled by such member, officer or agent, to aid or promote the nomination or election of any candidate or candidates to the General Assembly or a state office, and (2) no such candidate or political committee shall accept such a contribution. The provisions of this subsection shall not apply to a candidate committee established by a member of the General Assembly or a candidate for nomination or election to the General Assembly, at a special election for the General Assembly, from the date on which the candidate or the chairman of the committee files the designation of a campaign treasurer and a depository institution under section 9-333d with the Secretary of the State, to the date on which the special election is held, inclusive, or to an exploratory committee established by a member of the General Assembly to promote his candidacy for an office other than the General Assembly.

(f) A political committee established by two or more individuals under subparagraph (B) of subsection (3) of section 9-333a, as amended, other than a committee established solely for the purpose of aiding or promoting any candidate or candidates for municipal office or the success or defeat of a referendum question, shall be subject to the prohibition on acceptance of lobbyist contributions under subsection (e) of this section unless the campaign treasurer of the committee has filed a certification that the committee is not established for an assembly or senatorial district, or by a member of the General Assembly or a state officer, or such member or officer's agent, or in consultation with, or at the request or suggestion of, any such member, officer or agent, or controlled by such member, officer or agent. The campaign treasurer of any political committee established by or on behalf of a lobbyist shall file a certification to that effect. Such certifications shall be filed with the office of the Secretary of the State, on forms prescribed by the secretary, on or before November 15, 1994, for all such political committees in existence on such date, or upon the

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1189 registration of the committee, and on or before November fifteenth 1190 biennially thereafter. The secretary shall provide to the State Elections Enforcement Commission on or before December 1, 1994, and biennially thereafter, a political committee registration report. The report shall include a certified copy of each certification filed pursuant to this subsection prior to December first of the reporting year and a certified copy of a list stating the name of each political committee registered pursuant to section 9-333g, as amended, prior to December first of the reporting year and the name and address of the campaign treasurer of each such committee. In the case of any political committee 1199 which registers or files a certification on or after December first of any 1200 even-numbered year but prior to November first of the following even-numbered year, the secretary shall provide the commission with a copy of each such registration or certification by the close of the next business day following receipt. Such registration information or certification shall also be included in the biennial political committee 1205 registration report of the secretary to the commission. The commission shall prepare a list of all such committees subject to the prohibitions under subsection (e) of this section, according to the certifications filed, 1207 which shall be available prior to the opening of each regular session of 1209 the General Assembly, and shall provide a copy of the list to the 1210 president pro tempore of the Senate, the speaker of the House of Representatives, the minority leader of the Senate, the minority leader 1212 of the House of Representatives and each state officer. During each such regular session, the commission shall prepare a supplemental list of committees which register after November fifteenth and are subject to such prohibitions, and the commission shall provide the supplemental list to such legislative leaders and state officers. The 1217 filing of the certification by the campaign treasurer of the committee 1218 shall not impair the authority of the commission to act under section 9-1219 7b, as amended. Any lobbyist or campaign treasurer who acts in 1220 reliance on such lists in good faith shall have an absolute defense in any action brought under subsection (e) and this subsection, 1222 subsection (c) of section 9-333f, as amended, and subsection (f) of

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section 9-333j, as amended.

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[(g) Each lobbyist who is an individual and, in conjunction with members of his immediate family, makes contributions to or purchases from committees exceeding one thousand dollars in the aggregate during the twelve-month period beginning July 1, 1993, or July first in any year thereafter, shall file a statement, sworn under penalty of false statement, with the State Elections Enforcement Commission in accordance with the provisions of section 9-333e, on the second Thursday in July following the end of such twelve-month period. The statement shall include: (1) The name of each committee to which the lobbyist or a member of his immediate family has made a contribution and the amount and date of each such contribution; and (2) the name of each committee from which the lobbyist or member of his immediate family has purchased any item of property or advertising space in a program in connection with a fund-raising event which is not considered a contribution under subsection (b) of section 9-333b and the amount, date and description of each such purchase. Each lobbyist who is an individual and who, in conjunction with members of his immediate family, does not make contributions to or purchases from committees exceeding one thousand dollars in the aggregate during any such twelve-month period shall file a statement, sworn under penalty of false statement, with the State Elections Enforcement Commission in accordance with the provisions of section 9-333e, on the second Thursday in July, so indicating.]

[(h)] (g) No communicator lobbyist, member of the immediate family of a communicator lobbyist, or political committee established or controlled by a communicator lobbyist or a member of the immediate family of a communicator lobbyist shall make a contribution or contributions to, or for the benefit of (A) an exploratory committee or a candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer, Secretary of the State, state senator or state representative, (B) a political committee

- 1256 established or controlled by any such candidate, (3) a legislative caucus committee or a legislative leadership committee, or (4) a party 1257 1258 committee.
- 1259 [(i)] (h) No communicator lobbyist, immediate family member of a 1260 communicator lobbyist, agent of a communicator lobbyist, or political 1261 committee established or controlled by a communicator lobbyist or any 1262 such immediate family member or agent shall solicit a contribution on 1263 behalf of a candidate committee or an exploratory committee 1264 established by a candidate for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer, 1265 1266 Secretary of the State, state senator or state representative, a political 1267 committee established or controlled by any such candidate, a 1268 legislative caucus committee, a legislative leadership committee or a 1269 party committee.
 - [(j)] (i) The provisions of subsections [(h)] (g) and [(i)] (h) of this subsection shall not apply to the campaign of a communicator lobbyist, immediate family member of a communicator lobbyist or agent of a communicator lobbyist who is a candidate for public office or to an immediate family member of a communicator lobbyist who is an elected public official.
 - [(k)] (j) Any person who violates any provision of subsections [(h)] (g) and [(i)] (h) of this section shall be subject to a civil penalty, imposed by the State Elections Enforcement Commission, of not more than five thousand dollars or twice the amount of any contribution donated or solicited in violation of subsection [(h)] (g) or [(i)] (h) of this section, whichever is greater.
- 1282 Sec. 13. Subdivision (1) of subsection (b) of section 9-333m of the 1283 2006 supplement to the general statutes is repealed and the following 1284 is substituted in lieu thereof (Effective December 31, 2006, and applicable 1285 to elections held on and after said date):
- 1286 (b) (1) No individual shall make a contribution or contributions to,

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1287 or for the benefit of, an exploratory committee, in excess of three 1288 hundred seventy-five dollars, if the candidate establishing the 1289 exploratory committee certifies on the statement of organization for 1290 the exploratory committee pursuant to subsection (c) of section 9-333f, 1291 as amended, that the candidate will not be a candidate for the office of 1292 state representative or for a municipal office other than the chief 1293 executive officer. No individual shall make a contribution or 1294 contributions to, or for the benefit of, any exploratory committee, in 1295 excess of two hundred fifty dollars, if the candidate establishing the 1296 exploratory committee does not so certify.

- Sec. 14. Subdivision (1) of subsection (e) of section 9-333n of the 2006 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective December 31, 2006, and applicable to elections held on or after said date*):
- 1301 (e) (1) Any individual [acting alone] may [, independent of any 1302 candidate, agent of the candidate, or committee,] make unlimited independent expenditures to promote the success or defeat of any 1303 1304 candidate's campaign for election, or nomination at a primary, to any 1305 office or position. Except as provided in subdivision (2) of this 1306 subsection, any individual who makes an independent expenditure or 1307 expenditures in excess of one thousand dollars to promote the success or defeat of any candidate's campaign for election, or nomination at a 1308 1309 primary, to any such office or position shall file statements according 1310 to the same schedule and in the same manner as is required of a 1311 campaign treasurer of a candidate committee under section 9-333j, as 1312 amended.
- Sec. 15. Subsection (i) of section 9-333n of the 2006 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective December 31, 2006, and applicable to lections held on and* after said date):
- 1317 (i) The State Elections Enforcement Commission shall study 1318 subcontracts for state contracts and, not later than February 1, [2007]

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- 2009, submit proposed legislation for extending the provisions of this
 subsection to such subcontracts to the joint standing committee of the
 General Assembly having cognizance of matters relating to elections.
- Sec. 16. Subsection (a) of section 9-3330 of the 2006 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective December 31, 2006, and applicable to elections held on and* after said date):
- 1326 (a) No business entity shall make any contributions or expenditures 1327 to, or for the benefit of, any candidate's campaign for election to any 1328 public office or position subject to this chapter or for nomination at a 1329 primary for any such office or position, or to promote the defeat of any 1330 candidate for any such office or position. No business entity shall 1331 make any other contributions or expenditures to promote the success 1332 or defeat of any political party, except as provided in subsection (b) of 1333 this section. No business entity shall establish, direct or control more 1334 than one political committee. A political committee shall be deemed to 1335 have been established, directed or controlled by a business entity [if 1336 the initial disbursement or contribution to the committee is made 1337 under subsection (b) of this section or by an officer, director, owner, 1338 limited or general partner or holder of stock constituting five per cent 1339 or more of the total outstanding stock of any class of the business 1340 entity.] upon consideration of the following factors:
- (1) Whether the officers, directors, owners, limited or general partners or holders of stock constituting five per cent or more of the total outstanding stock of any class of the business entity, or key management personnel of the business, have the authority or ability to direct or participate in the governance of the political committee through provisions of bylaws, contracts, or other rules, or through formal or informal practices or procedures;
 - (2) Whether the officers, directors, owners, limited or general partners or holders of stock constituting five per cent or more of the total outstanding stock of any class of the business entity, or key

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- management personnel of the business entity, have the authority to
- hire, appoint or otherwise control the designation of the chairman or
- 1353 treasurer of the political committee;
- 1354 (3) Whether the officers, directors, owners, limited or general
 1355 partners or holders of stock constituting five per cent or more of the
 1356 total outstanding stock of any class of the business entity, or key
 1357 management personnel of the business entity, provide funds or goods
- in a significant amount or an ongoing basis to the political committee;
- 1359 (4) Whether the officers, directors, owners, limited or general
- partners or holders of stock constituting five per cent or more of the total outstanding stock of any class of the business entity, or key
- 1362 management personnel of the business entity had an active or
- significant role in the formation of the political committee; and
- 1364 (5) Whether there exists a payroll deduction or other system of
- soliciting and collecting contributions for the political committee from
- the officers, directors, owners, limited or general partners or holders of
- stock constituting five per cent or more of the total outstanding stock
- of any class of the business entity, or key management personnel of the
- business entity. On or before February 1, 2007, the chairperson of a
- political committee established, directed or controlled by a business
- entity shall notify the commission in writing of the name of the
- 1372 <u>business entity that has established, directed or controlled such</u>
- political committee. The commission shall seek voluntary compliance
- with the prohibition in this subsection, provided a lack of such
- voluntary compliance on or after April 1, 2007, may result in the
- imposing of sanctions by the commission, as authorized by section 9-
- 1377 <u>7b of the 2006 supplement to the general statutes.</u>
- 1378 Sec. 17. Subsection (a) of section 9-333p of the 2006 supplement to
- the general statutes is repealed and the following is substituted in lieu
- thereof (Effective December 31, 2006, and applicable to elections held on or
- 1381 after said date):

- 1382 (a) An organization may make contributions or expenditures, other 1383 than those made to promote the success or defeat of a referendum 1384 question, only by first forming its own political committee. The 1385 political committee shall then be authorized to receive funds 1386 exclusively from the organization's treasury or from voluntary 1387 contributions made by its members, but not both, from another 1388 political committee or, from a candidate committee distributing a 1389 surplus and (1) to make contributions or expenditures to, or for the 1390 benefit of, a candidate's campaign or a political party, or (2) to make 1391 contributions to another political committee. No organization shall 1392 [form] establish, direct or control more than one political committee. A 1393 political committee shall be deemed to have been established or is 1394 directed or controlled by an organization [if the initial contribution to 1395 the committee is made by the organization's treasury or an officer or 1396 director of the organization.] upon consideration of the following 1397 factors:
- 1398 (A) Whether the organization's treasury is the funding source of the political committee;
- 1400 <u>(B) Whether the members of the same organization and their family</u> 1401 <u>members are the sole primary funding source of the political</u> 1402 committee;
- (C) Whether the officers, directors or other governing body, or members of the organization have the authority or ability to direct or participate in the governance of the political committee through provisions of bylaws, contracts, or other rules, or through formal or informal practices or procedures;
- 1408 (D) Whether the officers, directors or other governing body of the
 1409 organization have the authority to hire, appoint or otherwise control
 1410 the designation of the chairperson or treasurer of the political
 1411 committee; and
- 1412 (E) Whether the officers, directors or other governing body of the

- organization had an active or significant role in the formation of the political committee. On or before February 1, 2007, the chairman of a political committee established, directed or controlled by an organization shall notify the commission in writing of the name of the
- organization that has established, directed or controlled such political
- 1418 committee. The commission shall seek voluntary compliance with the
- prohibition in this subsection, provided on and after April 1, 2007, the
- 1420 <u>commission may proceed to impose sanctions as authorized by section</u>
- 1421 9-7b of the 2006 supplement to the general statutes.
- Sec. 18. Section 49 of public act 05-5 of the October 25 special session
- is repealed and the following is substituted in lieu thereof (Effective
- 1424 from passage)
- 1425 The State Elections Enforcement Commission shall study and
- 1426 prepare a plan that addresses (1) public financing for candidates for
- 1427 nomination or election to offices of municipalities, and (2) campaign
- 1428 financing restrictions, including, but not limited to, restrictions on the
- sale of advertising space in fund-raising affair programs by candidate
- 1430 committees for such candidates and restrictions on contributions to
- such candidates from communicator lobbyists, immediate family members of communicator lobbyists, political committees established
- 1433 by communicator lobbyists, and principals of contractors or
- prospective contractors. Not later than January 1, [2007] 2009, the
- 1435 commission shall submit a report on its findings and
- 1436 recommendations, including any necessary legislation, to the joint
- 1437 standing committee of the General Assembly having cognizance of
- matters relating to elections.
- Sec. 19. Section 9-717 of the 2006 supplement to the general statutes
- is repealed and the following is substituted in lieu thereof (Effective
- 1441 from passage):
- If a court of competent jurisdiction [prohibits or limits] holds any
- provision of sections 9-700 to 9-716 of the 2006 supplement to the
- 1444 general statutes unconstitutional and permanently enjoins the

expenditure of funds from the Citizens' Election Fund established in section 9-701 for grants or moneys for candidate committees authorized under sections 9-700 to 9-716, inclusive, [for a period of seventy-two hours or more,] (1) sections 1-100b, 9-700 to 9-716, inclusive, 9-750, 9-751 and 9-760 and section 49 of public act 05-5 of the October 25 special session*, as amended by this act, shall be inoperative and have no effect, and (2) (A) the amendments made to the provisions of the sections of the general statutes pursuant to public act 05-5 of the October 25 special session**, as amended by this act, shall be inoperative, (B) the provisions of said sections of the general statutes, revision of 1958, revised to December 30, 2006, shall be effective, and (C) the provisions of subsections (g) to (j), inclusive, of section 9-333n, as amended by this act, shall not be implemented.

This act shall take effect as follows and shall amend the following sections:		
Section 1	December 31, 2006, and applicable to elections held on or after said date	9-702(c)
Sec. 2	December 31, 2006, and applicable to elections held on or after said date	9-703(a)
Sec. 3	December 31, 2006, and applicable to elections held on or after said date	9-704(a)
Sec. 4	December 31, 2006, and applicable to elections held on or after said date	9-705
Sec. 5	December 31, 2006, and applicable to elections held on and after said date	9-706(d)
Sec. 6	December 31, 2006, and applicable to elections held on or after said date	9-712
Sec. 7	December 31, 2006, and applicable to elections held on or after said date	9-333a(25) and (26)

Sec. 8	December 31, 2006, and	9-333b(b)
	applicable to elections held	
	on or after said date	
Sec. 9	December 31, 2006, and	9-333g(e)(1)
	applicable to elections held	
	on and after said date	
Sec. 10	December 31, 2006, and	9-333j(c)
	applicable to elections held	
	on or after said date	
Sec. 11	December 31, 2006, and	9-3331
	applicable to elections held	
	on and after said date	
Sec. 12	October 1, 2007	9-3331
Sec. 13	December 31, 2006, and	9-333m(b)(1)
	applicable to elections held	
	on and after said date	
Sec. 14	December 31, 2006, and	9-333n(e)(1)
	applicable to elections held	
	on or after said date	
Sec. 15	December 31, 2006, and	9-333n(i)
	applicable to lections held	,
	on and after said date	
Sec. 16	December 31, 2006, and	9-333o(a)
	applicable to elections held	,
	on and after said date	
Sec. 17	December 31, 2006, and	9-333p(a)
	applicable to elections held	1 ()
	on or after said date	
Sec. 18	from passage	PA 05-5 of the October
	, 0	25 Sp. Sess., Sec. 49
Sec. 19	from passage	9-717
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Statement of Purpose:

To make various revisions, both technical and substantive, to the comprehensive campaign finance reform act.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]